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Mail Stop RCE, Commissioner for Patents,

Doran R. Pace, Patent Attorney

INFORMATION DISCLOSURE
STATEMENT
Examining Group 1635

Docket No. GJE-06FD3 Serial No. 09/971,776

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Brian Whiteman

Art Unit

1635

Applicants

Aaron Gershon Filler, Andrew Michael Lindsay Lever

Serial No.

09/971,776

Filed

October 4, 2001

Conf. No.

3171

For

Synthetic Transfection Vectors

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited references are enclosed.

The enclosed European Patent 0 040 722 A1 (BASF Aktiengesellschaft, 1981) cited as F1 on the attached PTO/SB/08 is written in a foreign language. However, Applicants note that an English language abstract of European Patent 0 040 722 A1 is attached to the front of the patent submitted with this Information Disclosure Statement.

Applicants respectfully request that the references submitted herewith be made of record and considered in the examination of the subject application.

Applicants respectfully assert that the substantive provisions of 37 CFR $\S\S1.97$ and 1.98 are met by the foregoing statement.

Respectfully submitted,

Doran R. Pace

Patent Attorney

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Attachments: Form PTO/SB/08; copy of cited references